INCOMING ROLLOVER/TRANSFER

Use this form to rollover or transfer funds **into** your GET account **from** any of the following investment vehicles: Another 529 plan (Qualified Tuition Program); Coverdell Education Savings Account (ESA); or qualified U.S. Savings Bond. See important information on page 3.

You will pay the unit price in effect at the time we receive your funds. To expedite your rollover/transfer, contact your financial institution or plan administrator directly. If we request the rollover/transfer for you, please allow extra time for processing. We cannot guarantee that we will receive your funds in time to purchase units at the current price.

1. GET Account Information

Do you have an active GET Account to accept the rollover?

☐ Yes. GET Account Number_____ (if known).

☐ No. (You must complete a GET enrollment form. GET is open for enrollment year-round.)

GET Account Owner Name (First, Middle, Last)	Account Owner SSN or TIN
GET Student Beneficiary Name (First, Middle, Last)	Beneficiary SSN or TIN
GET Account Owner Phone Number	GET Account Owner Email

2. Type of Rollover/Transfer (See instructions on page 3 for required documentation.)

Incoming funds are from (select one):

❑ Redemption of qualified US Savings Bonds. (Attach check and required documentation. Complete sections 3 and 5.)
❑ Redemption of a Coverdell Education Savings Account. (Attach check and required documentation. Complete sections 3 and 5.)
❑ Another Section 529 savings or prepaid Qualified Tuition Program. (Attach check and required documentation unless GET is initiating the transfer. Complete sections 3, 4, 5 and 6, if applicable.)

3. Contribution Amount

Attach required documentation. The documentation must match the information provided below.

Apply Funds (select one):

❑ To buy Lump Sum units. (Default)
❑ Toward future Custom Monthly Plan payments.

Principal Portion of Rollover Contribution	$_____
Earnings Portion of Rollover Contribution	$_____
Total Rollover Contribution to GET Account	$_____

Rollover earnings on all non-qualified refunds are subject to the program penalty on account earnings. See Refund and Cancellation Policy for more details.

4. Distributing 529 Plan Information (Complete if rollover/transfer is from another 529 plan)

Distributing Plan Name

Distributing Plan Account Number

Distributing Plan Account Owner (First, Middle Initial, Last)

Account Owner SSN or TIN

Distributing Plan Beneficiary Name (First, Middle Initial, Last)

Beneficiary SSN or TIN

Relationship of beneficiary on the distributing account to the Student Beneficiary of the GET account (if different). If the Beneficiary changes they must be an eligible family member of the GET account Student Beneficiary. See page 3 for more information.

- ❑ Check here if you would like GET to initiate your rollover from another 529 plan. Please provide the following information about the distributing plan:
  - ❑ Full account rollover/transfer
  - ❑ Partial account rollover/transfer: $_____ or %_____

529 plan mailing address: _____

5. Account Owner's Signature – Required

Forms must be signed by hand. E-Signatures are not accepted.

By signing this form, I hereby certify and acknowledge that: The information in this form is true, complete and accurate. I authorize GET, its agents and affiliates to act on instructions in this form believed to be genuine and from me. I authorize these requested changes to my Account. I hereby authorize this rollover and acknowledge that if my current program manager or I fail to provide the applicable earnings, the entire rollover/transfer contribution will be treated as earnings.

GET Account Owner's Signature **Date**

Distributing Account Owner's Signature (if different) **Date**

6. Signature Guarantee for Distributing Account Owner (If applicable)

- **Contact your distributing 529 plan custodian to determine if a signature guarantee is required to process this transfer. If the custodian requires a signature guarantee and you do not provide it, your rollover cannot be completed.**
- **You may obtain a signature guarantee from an authorized member of a bank, brokerage, or other qualified financial institution. A notary public cannot provide a signature guarantee.**

Authorized Officer Place Stamp Here

Signature of Guarantor

Title/Name of Institution

Date

IMPORTANT INFORMATION

- This incoming rollover is a new contribution and there will be a two year hold before these units will be eligible for distribution.
- If the assets will be used to establish a new GET account, you must also complete an Enrollment form.
- If the transfer or rollover is to an existing GET account and you need to designate a new Student Beneficiary to make the transfer or rollover valid, you must also complete a Student Beneficiary Change form.
- A family member means: parents, brothers, sisters, stepparents, stepbrothers, stepsisters, spouse, children, stepchildren, aunts, uncles, first cousins and eligible blood or legal relatives per IRS Code Section 529. Please call us if you have questions.
- Please consult a qualified tax advisor to determine if the rollover/transfer qualifies as a tax-free rollover. The state of Washington does not offer tax advice.

Section 2 - Type of Rollover/Transfer (additional important information)

*For Rollover/transfers from another GET account, Do NOT use this form. Instead complete the **GET Transfer Request** form.

- **Rollover/transfer from the redemption of qualified US Savings Bonds:**
  You must provide an account statement or Form 1099-INT issued by the financial institution that redeemed the bond which shows the interest (earnings) from the redemption of the bond. If this documentation is not provided, we are required to treat the entire amount as earnings.

- **Rollover/transfer from the redemption of a Coverdell Education Savings Account:**
  You must provide an account statement issued by the financial institution that acted as trustee custodian of the Coverdell Education Savings Account that shows the contributions portion (basis) and earnings portion of the account. If this documentation is not provided, we are required to treat the entire amount as earnings.

- **Rollover/transfer from another 529 plan (including a Qualified Tuition Program):**
  If you are transferring or rolling over funds from another qualified tuition program, the deposit of the transfer or rollover must be made within 60 days of the withdrawal to be free of federal income taxes and penalties. In addition, the beneficiary of the account to which the transfer or rollover is made must be a family member of the beneficiary of the account in the other program. A transfer or rollover can be made without changing the beneficiary, provided no transfer or rollover from a qualified tuition program has occurred for that beneficiary within 12 months of the date of the transfer or rollover contribution being made with this form. You must provide a statement issued by the plan from which the transfer or rollover is being made that shows the earnings portion of the distribution. If this documentation is not provided, we are required to treat the entire amount as earnings. **The other 529 plan may require you to complete additional forms or may assess fees. Check with the other plan for details.**

How to Submit this Form

Submit this **original** form along with your rollover contribution directly to the GET Prepaid Tuition Plan at:
WA529 GET, PO Box 84824, Seattle, WA 98124-6124

Do NOT submit this form to the program or financial institution from which the funds are being withdrawn. If the financial institution from which the funds are being withdrawn has not yet completed the rollover distribution and appropriate documentation, please contact them directly and ask them to do so (unless you have requested that we initiate your rollover, in which case we will contact the other plan).